

CURRICULUM VITAE

JOHN T. SCHOLZ

Department of Political Science
Florida State University
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EDUCATION

Harvard College (B.A. in Government, 1968, Magna Cum Laude)

University of California/Berkeley: (Ph.D. in Political Science, 1977; M.S. in Resource Economics, 1974; M.A. in Political Science, 1972)

RESEARCH AND TEACHING FIELDS

Politics, Administration, and Public Policy, with special emphasis on political economy and institutions, organization and decision theory, environmental policy, citizenship and taxes, enforcement, government regulation of business.

EMPLOYMENT

Florida State University, Eppes Professor of Political Science	2001
State University of New York at Stony Brook	
Associate Dean, Social and Behavioral Sciences	1993-1996
Graduate Program Director, Department of Political Science	1987-1992
Professor, Department of Political Science	1992-2001
Associate Professor, Department of Political Science	1985-1992
Assistant Professor, Department of Political Science	1979-1985
Senior Research Associate, National Academy of Science, Panel on Taxpayer Compliance (on leave from SUNY)	1985-1986
University of California at Berkeley	
Lecturer, Graduate School of Public Policy	1979
Research Associate, Center for Law and Society	1977-1979
Teaching Assistant	1972-1974
Peace Corps in Nepal	1968-1971

HONORS, FELLOWSHIPS AND GRANTS

National Science Foundation		
“Information or Credibility? Policy Networks and the Evolution of Cooperation” (with TK Ahn)	\$415,465	2005-2008
“Policy Networks and Federal Enforcement”	\$200,000	2002-2004

“The Politics of Cooperation: CBEP Agreements”	\$215,000	1998-2001
“Democracy and Collective Action: Strategies, Heuristics, and Cooperation”	\$65,000	1996-1997
“Democratic Controls over Tax Enforcement “	\$180,000	1992-1995
Matching Survey Data and Government Records (Supplemental)	\$40,000	1988
"Norms, Self-Interest, and Taxpayer Decisions:		
"Adaptations to 1986 Tax Reform"	\$350,000	1987-1991
"Responsiveness and Effectiveness of OSHA's		
Regulatory Enforcement"	\$96,000	1985-1988
"Corporate Regulatory Compliance"	\$35,000	1980-1981
Fulbright Fellowship, Nepal	August-December	1999
Environmental Protection Agency/ National Science Foundation STARS grant	Sustainable Development and Cooperation \$100,410	1998-2001
Visiting Scholar, Australian National University (summer)		1993
Australian Tax Office, evaluation of Project-Based Audits (\$5,000)		1993
Russell Sage Fellow, New York		1992-1993
Occupational Safety and Health Administration		
Effectiveness of Complaint Inspections	\$10,000	1992
Asia Foundation		
Parliamentary Elections in Nepal	\$30,000	1991
University Awards (SUNY)		
SUNY Matching Grant: 1986 Tax Reform Act Study	\$26,000	1987-1988
"Adaptive Model of Agency-Congress Relationship"	\$3,500	1983
National Institute of Mental Health		
Organizational Post-Doctoral Fellowship at Stanford	\$20,000	1981-1982
National Institute of Health		
Research Initiation Grant "Hospital Regulation"	\$5,000	1979-1980
Social Science Research Council		
Dissertation Research Grant		1974-1976
NDFL (Title VI) Study Fellowship		1972-1973
Harvard College		
Thesis Research Grant		1967
National Honorary Scholarship		1963-1968
Research Assistant		1964-1968

PUBLICATIONS

Articles

- “Cooptation or Transformation? Local Policy Networks and Federal Regulatory Enforcement” (with Cheng-Lung Wang) American Journal of Political Science, 50(1): 81-97, 2006
- “Contractual Compliance and the Federal Income Tax System” Journal of Law and Policy 13:139 – 203, 2003.
- “Building Consensual Institutions: Networks and the National Estuary Program” (with Mark Schneider, Mark Lubell, Denisa Midruta, Matt Edwards) American Journal of Political Science, 47:143-158, 2003
- “Watershed Partnerships and the Emergence of Collective Action Institutions” (with Mark Lubell, Mark Schneider, and Mihriye Mete). American Journal of Political Science 46: 148-163, 2002.
- “Cooperation, Reciprocity, and the Collective Action Heuristic” (with Mark Lubell). American Journal of Political Science, 45: 160-178, 2001.
- "Social Networks and Citizen Responses to Legal Change" (with Christine Roch and Kathleen McGraw). American Journal of Political Science, 44: 777-791, 2000.
- "Equity, Efficiency, and Politics: Democratic Controls on the Tax Collector (with B. Dan Wood) American Journal of Political Science, 43: 1166-1188, 1999.
- "Enforcement Policy and Corporate Misconduct: The Changing Perspective of Deterrence Theory" Law and Contemporary Problems, 60:253-268, 1998.
- “Adaptive Political Attitudes: Duty, Trust, and Fear as Monitors of Tax Policy” (with Mark Lubell), American Journal of Political Science, 42: 903-920, 1998.
- "Trust and Taxpaying: Testing the Heuristic Approach to Collective Action" (with Mark Lubell), American Journal of Political Science, 42: 398-417, 1998.
- “Controlling the IRS: Principals, Principles, and Public Administration, (with Dan Wood), American Journal of Political Science, 42:141-162, 1998.
- "Can Government Facilitate Cooperation? An Informational Model of OSHA Enforcement" (with Wayne Gray) American Journal of Political Science 41: 693-717, 1997.
- "Duty, Fear, and Tax Compliance: The Heuristic Basis of Citizenship Behavior (with Neil Pinney) American Journal of Political Science 39: 490-512, 1995.
- "Does Regulatory Enforcement Work? A Panel Analysis of OSHA Enforcement" (With Wayne Gray) Law and Society Review 27: 177- 213, 1993.
- "Analyzing the Equity and Efficiency of OSHA Enforcement" (with Wayne Gray). Law and Policy, 13: 185-214, 1992.
- "Will Taxpayers Ever Like Taxes? Responses to the 1986 Tax Reform Act" (With Marco Steenbergen and Kathleen McGraw) Journal of Economic Psychology 13:625-656 1992.
- "Behavioral Decision Theory and Tax Compliance: Beyond Deterrence" (with Jeff Casey). Law and Society

- Review, 25: 821-843, 1992.
- "Appeals to Civic Virtue versus Attention to Self Interest: Effects on Taxpayer Responses to New Tax Laws" (with Kathleen McGraw). Law and Society Review, 25:471-498, 1991.
- "Political Freedom and Political Stability: Nepal's 1991 Parliamentary Elections" (with Fred Gaige). Asian Survey, 31: 1040-1060, 1991.
- "Boundary Effects of Vague Risk Information on Taxpayer's Decisions" (with Jeff Casey). Organizational Behavior and Human Decision Processes, 50:360-394, 1991.
- "Street-level Political Controls over Federal Bureaucracy" (with Jim Twombly and Barbara Headrick). American Political Science Review, 85: 829-850, 1991.
- "Cooperative Regulatory Enforcement and the Politics of Administrative Effectiveness" American Political Science Review, 85: 115-136, 1991.
- "OSHA Enforcement and Workplace Injuries: A Behavioral Approach to Risk Assessment" (with Wayne B. Gray). Journal of Risk and Uncertainty, 3: 283-305, 1990.
- "Decision Frame and Opportunity as Determinants of Tax Cheating: An International Experimental Study" (with Henry Robbins, Henk Elffers, Dick Hessing, et al). Journal of Economic Psychology, 11: 341-364, 1990.
- "Regulatory Enforcement in a Federalist System," (with Feng-Heng Wei) American Political Science Review, 80: 1249-1270, 1986.
- "Coping With Complexity: A Bounded Rationality Perspective on Taxpayer Compliance," Proceedings of the 78th Annual Conference on Taxation, National Tax Association--Tax Institute of America, 1985.
- "Voluntary Compliance and Regulatory Enforcement," Law and Policy, 6: 385-405, 1984.
- "In Search of Regulatory Alternatives," Journal of Policy Analysis and Management, 4: 113-116, 1984.
- "Cooperation, Deterrence, and the Ecology of Regulatory Enforcement," Law and Society Review 18: 179-224, 1984.
- "Reliability, Responsiveness and Regulatory Policy," Public Administration Review, 44: 145-153, 1984.
- "The Criminology of the Corporation and Regulatory Enforcement Strategies," with Robert A. Kagan, Jahrbuch für Rechtssoziologie Und Rechttheorie, 7: 352-377, 1981; reprinted in Keith Hawkins and John Thomas, eds, Enforcing Regulation. Hingham, Mass: Kluwer-Nijhoff, pp 67-96, 1984.
- "State Regulatory Reform and Federal Regulation," Policy Studies Review, 1: 347-359, 1981.
- "Trying to Control the Policy Process: Royal Strategies in " Nepal," with Leo E. Rose, Contributions to Asian Studies, 14: 89-102, 1979.
- "Nepal in 1977: Political Discipline or Human Rights," Asian Survey, 18: 135-141, 1978.
- "Nepal: Foreign Policy Determinants in a Small State," Asian Thought and Society, 3: 106-109, 1978.

"Nepal in 1976: Problems with India Threaten Birendra's New Order," Asian Survey, 17: 201-207, 1977.

"Elutriation Particle Separator," with D. R. Uhlmann & B. B. Chalmers, Review of Scientific Instruments, 36: 1813-1816, 1965.

Books

Adaptive Governance and Water Conflicts (edited with Bruce Stiftel) Washington, D.C.: Resources for the Future, 2005

Adaptive Governance and Water Conflicts: The Case Studies (with Aysin Dedekorkut and Bruce Stiftel ,eds.) Tallahassee, FL: Florida State University DeVoe L. Moore Center, 2003.

Taxpayer Compliance: An Agenda for Research A National Academy of Sciences Report (with Jeffrey A. Roth and Ann Dryden Witte). Philadelphia: University of Pennsylvania Press, 1989.

Taxpayer Compliance: Social Science Perspectives (Edited, with Jeffrey A. Roth). Philadelphia: University of Pennsylvania Press, 1989.

Nepal: Profile of a Himalayan Kingdom (with Leo E. Rose). Boulder, Colorado: Westview Press, 1980.

Chapters in Books

"The Challenges of Adaptive Governance" in John Scholz and Bruce Stiftel, eds. Adaptive Governance and Water Conflicts Washington, D.C.: Resources for the Future, 2005.

"The Future of Adaptive Governance" in John Scholz and Bruce Stiftel, eds. Adaptive Governance and Water Conflicts Washington, D.C.: Resources for the Future, 2005.

"Comments: Carrots or Just Deserts?" Pp 258-266 in The Crisis in Tax Administration. Joel Slemrod and Henry Aaron, eds. Washington, D.C.: Brookings Press. 2004.

"Administrative Justice" (with Robert Howard) in Joseph Sanders and V. Lee Hamilton (eds.) The Justice Reader New York: Plenum. 2001.

"Trusting Government: Fear, Duty, and Trust in Tax Compliance" in Valerie Braithwaite and Margaret Levi (eds.) Trust and Governance New York: Russell Sage Foundation, 1998.

"Managing Regulatory Enforcement in the United States" in David Rosenbloom and Richard Schwartz (eds.) Handbook of Regulation and Administrative Law New York: Marcel Dekker, Inc., 1994.

"Taxpayer Adaptation to the 1986 Tax Reform Act: Do New Laws Affect the Way Taxpayers Think about Taxes?" (with Kathleen McGraw and Marco Steenberg) in Joel Slemrod, ed., Why People Pay Taxes: Tax Compliance and Enforcement. Ann Arbor: University of Michigan Press, 1992.

"Political Education is Necessary to Foster Cooperative Regulatory Enforcement," in Jay Sigler and Thomas Murphy, eds. Preventing Corporate Lawbreaking: New Approaches to Government-Business Interaction. New York: Praeger/Quorum Books, pp 160-183, 1991.

"Fairness and Compliance: The Impact of Discussion on Taxpayer's Decisions" (with Kathleen McGraw), in IRS, The Impact of Tax Reform Act of 1986 Conference Report. Washington, D.C.: Department of

Treasury report 7302 (Rev. 3-90) 1990.

"Compliance Research and the Political Context of Tax Administration," in Jeffrey A. Roth and John T. Scholz, eds., Taxpayer Compliance: Social Science Perspectives. Philadelphia: University of Pennsylvania Press, pp 12-46, 1989.

"Federal vs. State Regulation: Does it Matter?" in Malcolm Feeley and Harry Scheiber, eds., Power Divided: Essays on the Theory and Practice of Federalism. Berkeley: Institute of Governmental Studies, pp 105-122, 1989.

"Norms, Social Commitment, and Citizens Adaptation to New Laws" (with Kathleen McGraw), in Peter J. van Koppen, Dick J. Hessing and Grat van den Heuvel, eds., Lawyers on Psychology and Psychologists on Law. Berwyn, PA: Swets North America, pp 101-125, 1988.

"Discretion and Enforcement Efficiency: Problems of Complexity, Contingency and Corruption," in Kenneth Hibbeln and Douglas Shumavon eds. Administrative Discretion and Public Policy Implementation. N.Y.: Praeger, pp. 145-156, 1986.

"Nepal" (with Doss Mabe), in Current History Encyclopedia of Developing Nations. N.Y.: McGraw-Hill, pp. 206-209, 1982.

Dissertation and Theses

"Policy Processes and Rural Development: Land Reform in Nepal" (Ph.D. Dissertation, U.C./Berkeley, 1977).

"Rural Institutions and Economic Development: China's Commune Strategy for Political Development" (M.S. Thesis, U.C./Berkeley, 1974).

"Kathmandu and Countryside: Center-Periphery Decision-Making in Nepal" (M.A. Thesis, U.C./Berkeley, 1972).

"The Berlin Border-Pass Negotiations, 1963-1967: A Study of East-West German Relations" (B.A. Thesis, Harvard, 1968).